

Attorney's Docket No.: 00614-120001

DEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Patrizio Vinciarelli et al.

Art Unit : 2836

Serial No.: 09/841,471

Examiner: Boris Benenson

Filed

: April 24, 2001

Title

: COMPONENTS HAVING ACTIVELY CONTROLLED CIRCUIT ELEMENTS

MAIL STOP PETITIONS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PETITION TO WITHDRAW ABANDONMENT AND PETITION TO REVIVE UNINTENTIONALY ABANDONED APPLICATION

This petition is being filed in response to the September 2, 2005 Notice of Abandonment. Applicants hereby petition under 37 CFR §§ 1.181, 182, 183 to withdraw the holding of abandonment. A check for the \$400.00 petition fee required by 37 CFR §§ 1.17(f) is enclosed.

In the alternative, applicants petition under 37 CFR §1.137(b) to revive the above application for unintentional abandonment and request that the remaining balance (\$1100.00) for the \$1500.00 petition fee required by 37 CFR §§ 1.17(m) be charged to Deposit Account No. 06-1050, reference 00614-120001.

The September 2, 2005, Notice of Abandonment advises that the present application was abandoned because the issue fee was not paid by the November 23, 2004 deadline. The undersigned, applicant's attorney of record, first became aware of the abandonment on September 7, 2005, upon receipt of the September 2, 2005, Notice of Abandonment. This petition is timely as being submitted within two months of the September 2, 2005, Notice of Abandonment as required by 37 CFR §1.181(f).

09/26/2005 EFLORES 00000012 09841471

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400.00 OP

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

Signature

Lesley J. Arcidy

Typed or Printed Name of Person Signing Certificate

Applicant : Patrizio Vinciarelli et al. Attorney's Docket No.: 00614-120001

Serial No.: 09/841,471 Filed: April 24, 2001

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Exhibits.

The following documents are submitted herewith in support of the petition.

1. Notice of Abandonment (September 2, 2005).

- 2. Response to **Second** Notice of Allowance (mailed September 20, 2004).
- 3. Checklist for **Second** Response (completed September 20, 2004).
- 4. Return Receipt Postcard bearing September 22, 2004 USPTO stamp.
- 5. **Second** Notice of Allowance (mailed August 23, 2004).
- 6. Decision Granting Petition (mailed July 26, 2004).
- 7. Petition to Withdraw From Issuance (mailed July 14, 2004).
- 8. Completed PTOL -85B showing the Issue Fee was paid (mailed May 27, 2004).
- 9. Response to **First** Notice of Allowance (mailed May 27, 2004).
- 10. Checklist for First Response (completed May 27, 2004).
- 11. Return Receipt Postcard bearing June 1, 2004 USPTO stamp.
- 12. First Notice of Allowance (mailed April 7, 2004).

Procedural History

This application was first allowed in April, 2004. (Ex. 12: First Notice of Allowance). Applicants filed a timely response to the First Notice of Allowance on May 27, 2004 including a \$1660.00 check, a two page Response to Notice Of Allowance (Ex. 9), and the completed Issue Fee Transmittal (Part B) with Request for Patent Copies, PTOL-85B (Ex. 8) as shown by the return receipt postcard bearing the USPTO stamp (Ex. 11). Shortly afterward, Applicants filed a Petition to Withdraw From Issuance (Ex. 7) with a Request for Continued Examination and an IDS. The Petition was granted on July 26, 2004 (Ex. 6) and the application was immediately allowed again (Ex. 5: Second Notice of Allowance). Applicants filed a Response to Second Notice of Allowance on September 20, 2004 (Ex. 2) which included applicants comments on reasons for allowance and an authorization to charge a deposit account with any additional fees or credits. The Response to Second Notice of Allowance apparently did not include the completed PTOL-85B (Fee Transmittal) form. The Notice of Abandonment (Ex. 1) was then

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received prompting an investigation and leading to discovery of the error by the undersigned attorney.

Statement of Facts

- 1. The issue fee was paid on May 27, 2004. The first PTOL-85B (Ex. 8) bears the USPTO fee receipt dated 6-2-04. The Decision on Petition states that Applicants may request application of the previously paid issue fee to a new notice of allowance. (Ex. 6) The Second Notice of Allowance (Ex. 5) states that no issue fee or publication fee is due.
- 2. Applicants' representatives intended to file a complete and timely response to the Second Notice of Allowance. Applicants' representatives filed a Response to Second Notice of Allowance within one month after the Second Notice of Allowance. (Ex. 2) Applicants' response included comments on the reasons for allowance. (Ex. 2) Applicants' representatives included an authorization to charge a deposit account with any additional fees or credits. Applicants' representatives sent a return receipt postcard. (Ex. 4) It is a standard practice of Applicants' representatives to prepare and complete an internal checklist at the time a response is filed to help ensure that each response is complete and timely. (See Ex. 3, 10) The internal checklist prepared at the time the Second Response was filed indicates that a complete response was intended. (Ex. 3) All of these actions evidence a clear intent to file a complete and timely response calculated to result in maturation of the present application into an issued U.S. patent.
- 3. A completed form PTOL-85B was not submitted with the Response to the Second Notice of Allowance through error. The internal checklist for the Second Response (Ex. 3) includes in the third section an item entitled "NOA" which includes three check boxes for the PTOL-85B. As shown, the three boxes are marked with the notation "N/A" clearly showing a mistaken belief that the completed form PTOL-85B was unnecessary for responding to the Notice of Allowance. (Ex. 3) The undersigned attorney believes that the mistake occurred because the Second Notice of Allowance indicated no issue fee was due and a form PTOL-85B (Ex. 8) had been previously submitted in response to the First Notice of Allowance (Ex. 12).

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Petition under 37 CFR §§ 1.182, 1.183.

The patent statute 35 U.S.C. § 151 requires that the sum specified by the notice of allowance be paid within three months of the notice. The Second Notice of Allowance (Ex. 5) indicates that no issue or publication fees were due. Applicants response therefore does not run afoul of 35 U.S.C. § 151.

The abandonment of the present application apparently stems from the failure to submit a second completed PTOL-85B. The undersigned attorney is unaware of any statute or rule explicitly requiring a form PTOL-85B for acceptance of the issue and publication fees. The present situation in which the issue fee was paid but the PTOL-85B was not returned is not specifically provided for in the rules making relief by petition under 37 CFR § 1.182 appropriate. In the event that one or more rules do apply to this situation, the requested relief may alternatively be granted by petition to suspend the rules under 37 CFR § 183.

Applicants therefore request withdrawal of the holding of abandonment and issuance of the above application in view of the special circumstances of the present case where the issue fee was paid and applicants established a clear intent to fully and timely respond to the August 23, 2004 Notice of Allowance.

Petition under 37 CFR § 1.137.

Applicants alternatively petition for revival of the present application as unintentionally abandoned under 37 CFR § 1.137 in the event that the requested relief is not granted under 37 CFR §§ 1.181 and 1.182 or 1.183. A completed copy of the PTOL-85B (Fee Transmittal) from the Second Notice of Allowance is being submitted herewith as the proposed response under 37 CFR § 1.137(b)(1) to completely respond to the August 23, 2004 Notice of Allowance. Please charge the remaining balance of \$1,100.00 (\$1,500.00 less \$400.00 check enclosed) for the petition fee required by 37 CFR §§ 1.17(m) to Deposit Account No. 06-1050, reference 00614-120001. Applicants submit that the entire period of delay was unintentional. The present application was filed April 24, 2001, therefore, no terminal disclaimer is required under 37 CFR § 1.137(d).

The Office is requested to contact the undersigned attorney with any questions concerning this petition or application.

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Please apply any other charges or credits to Deposit Account No. 06-1050, referencing 00614-120001.

Respectfully submitted,

Attorney's Docket No.: 00614-120001

Andrew T. D'Amico Reg. No. 33,375

Fish & Richardson P.C.

Citigroup Center 153 East 53rd Street, 52nd Floor New York, NY 10022-4611

Telephone: (212) 765-5070 Facsimile: (212) 258-2291

21166591.doc

PART B - FEE(S) TRANSMITTAL

JUN 0 1 2004 together with applicable fee(s), to: Mail Complete and send this form

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

26161

7590

04/07/2004

FISH & RICHARDSON P.C. 225 FRANKLIN STREET **BOSTON, MA 02110-2804**



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated

(Depositor's name)
(Signature)
(Date)
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/841.471	04/24/2001	Patrizio Vinciarelli	00614-120001	4503

TITLE OF INVENTION COMPONENTS HAVING ACTIVELY CONTROLLED CIRCUIT ELEMENTS

APPLN. TYPE	SMALL ENTITY	ISSUI	FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional NO		\$1330		\$300	\$1630	07/07/2004
EXA	MINER	ART	UNIT	CLASS-SUBCLASS		
BENENS	ON, BORIS	28	36			<u>-</u> _
FR 1.363).	ce address or indication of "Fe		names of u	ting on the patent front page, list (p to 3 registered patent attorneys, alternatively, (2) the name of a s	or 1. Fish & Richa	rdson P.C.
[] Change of correspondence address (or Change of Correspondence Address form PTC/5B/122) attached.			firm (havir	ng as a member a registered attorn the names of up to 2 registered pa	ney or 2.	
Thee Address indication (or "Fee Address" Indication form		attorneys o	or agents. If no name is listed, no r	name 3.		

Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE (CITY and STATE OR COUNTRY)

VLT Corporation

San Antonio, Texas

4a. The following fee(s) are enclosed: [X] Issue Fee [X] Publication Fee [X] Advance Order - # of Copies [V] Publication Fee [X] Advance Order - # of Copies [X] Advance Order - # of Copies [X] The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to	Please check the appropriate assignee category or categories (will not be pri	inted on the patent): [] individual [X] corporation or other private group entity [] government
Deposit Account Number 06-1050 (enclose an extra copy of this form).	4a. The following fee(s) are enclosed: [X] Issue Fee [X] Publication Fee	4b. Payment of Fee(s): [X] A check in the amount of the fee(s) is enclosed. [1] Payment by credit card. Form PTO-2038 is attached.

Director of Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

Signature) (Date) May 26, 2004 Stephen L. Romine 43,056 NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other that the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Pepartment of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

06/02/2004 CNGUYEN1 00000130 09841471

1330.00 OP 01 FC:1501 300.00 DP 02 FC:1504 30.00 OP 03 FC:8001

	SEP 2 9 2005	ND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22: www.uspto.gov	Trademark Office OR PATENTS
APPLICATION NO.	FILING DAY	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/841,471	04/24/2001	Patrizio Vinciarelli	00614-120001	4503
26161	7590 09/02/2005		EXAM	INER
	HARDSON PC		BENENSO	N, BORIS
P.O. BOX 103 MINNFAPOI	22 LIS, MN 55440-1022		ART UNIT	PAPER NUMBER
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			DATE MAILED: 09/02/200	5

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)
	09/841,471	Vinciarelli
Notice of Abandonment	Examiner	Art Unit
	Benenson	2836
The MAILING DATE of this communication app		
This application is abandoned in view of:		
 Applicant's failure to timely file a proper reply to the Office (a) A reply was received on (with a Certificate of N period for reply (including a total extension of time of b) A proposed reply was received on, but it does 	failing or Transmission dated month(s)) which expired on	•
(A proper reply under 37 CFR 1.113 to a final rejection application in condition for allowance; (2) a timely filed Continued Examination (RCE) in compliance with 37 (n consists only of: (1) a timely filed ar I Notice of Appeal (with appeal fee); o	nendment which places the
(c) A reply was received on but it does not constitutional rejection. See 37 CFR 1.85(a) and 1.111. (See		mpt at a proper reply, to the non-
(d) No reply has been received.		
 Applicant's failure to timely pay the required issue fee and from the mailing date of the Notice of Allowance (PTOL-8 (a) The issue fee and publication fee, if applicable, was	5). s received on (with a Certification	ate of Mailing or Transmission dated
(b) The submitted fee of \$ is insufficient. A balance	e of \$ is due	
The issue fee required by 37 CFR 1.18 is \$		CFR 1.18(d), is \$.
(c) The issue fee and publication fee, if applicable, has no		
3. Applicant's failure to timely file corrected drawings as requallowability (PTO-37). (a) Proposed corrected drawings were received on	·	
after the expiration of the period for reply. (b) \(\subseteq \) No corrected drawings have been received.		
 The letter of express abandonment which is signed by the the applicants. 	e attomey or agent of record, the ass	ignee of the entire interest, or all of
 The letter of express abandonment which is signed by an 1.34(a)) upon the filing of a continuing application. 	attorney or agent (acting in a repres	entative capacity under 37 CFR
 The decision by the Board of Patent Appeals and Interference of the decision has expired and there are no allowed clair 		e the period for seeking court review
7. ☐ The reason(s) below:		
		ljw
Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdra minimize any negative effects on patent term.	w the holding of abandonment under 37	CFR 1.181, should be promptly filed to



Attachment to Notice of Abandonment

For questions concerning the notice contact Office of Patent Publication Image Assistance Center: 888-786-0101.

Information is also available on the USPTO Internet web site: http://www.uspto.gov/web/patents/pubs/abandonnotice.html

Respond to the Notice of Abandonment by one of the following:

1. Petition To Withdraw Holding of Abandonment (See MPEP 711.03(c) I and 37 CFR § 1.181) No fee required

Where an applicant contends that the application is not in fact abandoned (e.g., a reply was in fact filed), a petition under 37 CFR § 1.181(a) requesting withdrawal of the holding of abandonment is the appropriate course of action. Any petition under 37 CFR § 1.181 to withdraw the holding of abandonment not filed within 2 months of the mail date of a Notice of Abandonment may be dismissed as untimely under 37 CFR § 1.181(f). In order for a petition to be granted, the evidence must be sufficient according to 37 CFR § 1.8(b) Certificate of Mailing 37 CFR § 1.10 "Express Mail" mailing or MPEP 503 Postcard Receipt as Prima Facie Evidence. The petition should be addressed as follows:

By mail: Mail Stop: Issue Fee, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 By facsimile: 703-872-9306

2. Petition To Withdraw Holding Of Abandonment Based On Failure To Receive Office Action (MPEP 711.03(c) II and 37 CFR § 1.181). No fee required

Where an applicant contends that the original Notice of Allowance and Fee(s) Due was never received, if adequately supported, the Office may grant the petition and remail the Office action. The showing required establishing non-receipt of an Office communication must include a statement from the practitioner stating that the Office communication was not received and attesting to the fact that a search of the file jacket and docket records indicates that the Office communication was not received. A copy of the docket record where the nonreceived Office would have been entered had it been received and docketed must be attached to and referenced in practitioner's statement.

Petition should be addressed to the Technology Center handling the application as follows: By mail: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By facsimile: 703-872-9306

3. Petition To Revive An Abandoned Application (See MPEP 711.03(c) III)

Where there is no dispute as to whether an application is abandoned (e.g., the applicant's contentions merely involve the cause of abandonment) a petition under 37 CFR § 1.137 (a) or (b) (accompanied by the appropriate petition fee) is necessary to revive the abandoned application. The text of these rules is available on the USPTO Internet Web site. Forms for these petitions, "Petition For Revival Of An Application For Patent Abandoned Unavoidably Under 37 CFR § 1.137(a)," PTO/SB/61, and "Petition For Revival Of An Application For Patent Abandoned Unintentionally Under 37 CFR 1.137(b)," PTO/SB/64, are available in the forms section of the USPTO website: http://www.uspto.gov.

Petitions under 37 CFR § 1.137 should be addressed to the Office of Petitions as follows:

By mail: Mail Stop Petition, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By facsimile: 703-872-9306

Note: Abandonment takes place by operation of law for failure to reply to an Office action or timely pay the issue fee, not by operation of the mailing of a Notice of Abandonment

Attorney's Docket No.: 00614-120001

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SEP 2 2 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Patrizio Vinciarelli et al.

Art Unit :

2836

Service No. :

09/841,471

Examiner:

Boris Benenson

Filed

: April 24, 2001

Confirmation No.:

4503

Title

Notice of Allowance Date: August 23, 2004
: COMPONENTS HAVING ACTIVELY CONTROLLED CIRCUIT ELEMENTS

MAIL STOP ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTICE OF ALLOWANCE

Comments on Statement of Reasons for Allowance

Applicant agrees that the limitations recited in the examiner's Reasons for Allowance are not taught or suggested by the art of record, and that the allowed independent claims are distinguished from the cited prior art for at least the reasons stated in the Reasons for Allowance, which are sufficient for allowance of all claims. Applicant does not concede that the stated reasons are the only grounds for patentability of the allowed claims, that the limitations excluded from the Reasons for Allowance are taught or suggested by the art of record, or that all of the limitations are necessary for patentability of the allowed claims or other claims directed to the disclosed subject matter.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL
I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

September 20, 2004

Signature

Toni M. Sousa

Typed or Printed Name of Person Signing Certificate

Applicant: Patrizio Vinciarelli et al.

Serial No.: 09/841,471 Filed: April 24, 2001

Page

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Please apply any charges or credits to our Deposit Account No. 06-1050, referencing Attorney Docket No. 00614-120001.

Respectfully submitted,

Attorney's Docket No.: 00614-120001

Stephen L. Romine Reg. No. 43,056

Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804

Telephone: (617) 542-5070 Facsimile: (617) 542-8906

20935568.doc

Client/Matter Number: 00614-120001

Client/Matter Name: FET Integration with Protection

Yes	No	Attorney/Agent Special Check Item	If you check "Yes" to either question, there is likely an effect on foreign filing options
0	0		continuation, or divisional cases have been or will be filed before the estimated issue a conservative deadline for filing has been docketed.
0	0		een/Will Be FILED & (2) changes to foreign applications to conform to U.S. application as e been sent to the foreign associate(s).
Check	ed By:		
		Handling Attorney/Agent ← The handling /	Attorney/Agent MUST review the file and PERSONALLY INITIAL for each item!

Sec	Att	PS	Check Items	
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	, 🗖	o'	Timing	Notice has been checked to confirm the due date was docketed correctly & is satisfied by this Response
		ϫ	Assignment	(1) assignment to the proper entity has been recorded & (2) the recorded document and reel/frame number has been received from the PTO
囡		(3)	IDS' Considered	All previously filed IDS' have been considered by the Examiner and all PTO Forms 1449 have been a returned with each item of art initialed.
	_		<i>'</i>	f f not, a petition to withdraw from issue and an FWC application with a request for consideration and return of all Forms 1449 are being filed.
1		'	Priority	NOT ELIGIBLE O Priority claim to benefit of filing date of an earlier filed U.S. or foreign application has been acknowledged
Q.nan.c.		æ	Small Entity Status	O Entitled O Not Entitled Determination of whether small entity standing must be made at time of paying the issue fee. If uncertain, info must be obtained from client or large fee must be paid.
回	Ø		Formal Drawings	Previously filed O Being filed with Issue fee O Requested from draftsman
	7			ि सिन्गाहन विविध नगर्भ osures .
	/ 🗆		Caption	(1) serial number, & (2) filing date have been checked for accuracy against information in the file.
	/ 🗆		Postcard	(1) billing attorney initials, & (2) list of all papers being sent and the pages of each.
D		NA	NOA Response	(1) identifies mailing date of Notice, (2) lists all items being submitted, & (3) includes standard charges/credits statement, PLUS: Part B: Part B of the PTO's copy of the Notice of Allowance is complete and correct
4		. 1	ı	Firm Name: "Fish & Richardson P.C." is named as the firm to be printed on the patent Change in Small Entity Status: If now a large entity, a large entity issue fee is being paid and the Response indicates the change in status
加光	/0	NEA	√lssue fee	O CHECK O DEPOSIT ACCOUNT CHARGE, indicated on completed Part C of Notice of Allowance
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المسكن		a	Envelope	Preprinted envelope or label is used, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 MAIL STOP ISSUE FEE
/				TVASKS AFTER ADDORNEWAGEN FOR EGA
Q [']	1	□	File copies	(1) are complete & (2) include all signatures and dates
4/			Manual Docket	Billing secretary's manual docket entry is updated
	-m ²		Database Update	Copy of postcard sent to Patent Services
			Folder Update	File copy, tab, and updated table of contents are filed in prosecution folder
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Attorney/Agent

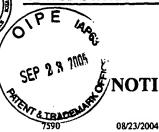
Practice Systems

Date

Secretary

	Attorney's Docket No. 00614-120001	Express Mail Label No.	Mailing Date September 20, 2004	For PTO Use Onl
	Application No. 09/841,471	Filing Date April 24, 2001	Attorney/Secretary Init DLF/SLR/trns	
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United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box. 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

FISH & RICHARDSON PC 225 FRANKLIN ST BOSTON, MA 02110

26161

EXAMINER

BENENSON, BORIS

ART UNIT PAPER NUMBER

2836

DATE MAILED: 08/23/2004

[APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
	09/841.471	04/24/2001	Patrizio Vinciarelli	00614-120001	4503

TITLE OF INVENTION: COMPONENTS HAVING ACTIVELY CONTROLLED CIRCUIT ELEMENTS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEB	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$0	\$0	\$0	11/23/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATEN PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHT THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPO PETITION BY THE APPLICANT. SEE 37 CFR 1,313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM TH MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THE STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOV REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (O AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WIL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is n claiming SMALL ENTITY status, check box 5a on Part B - Fee Transmittal and pay the PUBLICATION FEE (if required) and 1 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) w your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this for he together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 (703) 746-4000 or <u>Fax</u> INSTRUCTIONS: Rois form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed wh appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address indicated unless corrected bearing directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" maintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block I for any change of address) Note: A certificate of mailing can only be used for domestic mailings of Fee(s) Transmittal. This certificate cannot be used for any other accompany papers. Each additional paper, such as an assignment or formal drawing, m have its own certificate of mailing or transmission. 26161 7590 08/23/2004 FISH & RICHARDSON PC Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the Un States Postal Service with sufficient postage for first class mail in an envel addressed to the Mail Stop ISSUE FEE address above, or being facsim transmitted to the USPTO (703) 746-4000, on the date indicated below. 225 FRANKLIN ST BOSTON, MA 02110 (Signat APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 09/841,471 04/24/2001 Patrizio Vinciarelli 00614-120001 4503 TITLE OF INVENTION: COMPONENTS HAVING ACTIVELY CONTROLLED CIRCUIT ELEMENTS APPLN. TYPE **SMALL ENTITY ISSUE FEE PUBLICATION FEE** TOTAL FEE(S) DUE DATE DUE NO nonprovisional \$0 \$0 \$0 11/23/2004 **EXAMINER ART UNIT** CLASS-SUBCLASS BENENSON, BORIS 2836 361-058000 Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) ☐ Individual ☐ Corporation or other private group entity ☐ Governm Please check the appropriate assignee category or categories (will not be printed on the patent): 4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): lssue Fee A check in the amount of the fee(s) is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. Advance Order - # of Copies The Director is hereby authorized by charge the required fee(s), or credit any overpayment Deposit Account Number (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. □ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other part interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature _ Date .

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to proc an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 14 Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Typed or printed name

Registration No.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO	, F	ILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/841,471		04/24/2001	Patrizio Vinciarelli	00614-120001	4503
26161	7590	08/23/2004		EXAM	INER
FISH & RICI		N PC		BENENSO	N, BORIS
225 FRANKL BOSTON, MA				ART UNIT	PAPER NUMBER
·				2836	
				DATE MAILED: 08/23/200	4

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 376 day(s). If the issue fee is paid on the date that is three months after t mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a h months) after the mailing date of this notice, the Patent Term Adjustment will be 376 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date th determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retriev (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

DATE MAILED: 08/23/2004

Notice of Fee Increase on October 1, 2004

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2004, then t amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" because an increase in fe effective on October 1, 2004 is anticipated. See Revision of Patent Fees for Fiscal Year 2005; Proposed Rule, 69 Fe Reg. 25861, 25863, 25864 (May 10, 2004).

The current fee schedule is accessible from WEB site (http://www.uspto.gov/main/howtofees.htm).

If the fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due" but not the correct amount in vie of the fee increase, a "Notice of Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processi delays associated with mailing of a "Notice of Pay Balance of Issue Fee," if the response to the Notice of Allowan is to be filed on or after October 1, 2004 (or mailed with a certificate of mailing on or after October 1, 2004), t issue fee paid should be the fee that is required at the time the fee is paid. See Manual of Patent Examining Procedu (MPEP), Section 1306 (Eighth Edition, Rev. 2, May 2004). If the issue fee was previously paid, and the response the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue f now due, then the difference between the issue fee amount at the time the response is filed and the previously-pa issue fee should be paid. See MPEP Section 1308.01.

Effective October 1, 2004, 37 CFR 1.18 is proposed to be amended by revising paragraphs (a) through (c) to read set forth below. As stated above, the final fee may be a different amount, and applicant should check the WEB s given above when paying the fee.

Section 1.18 Patent post allowance (including issue) fees.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

(b) Issue fee for issuing a design patent:

(c) Issue fee for issuing a plant patent:

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of t Office of Patent Publication at (703) 305-8283.



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Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

FISH & RICHARDSON PC 225 FRANKLIN STREET BOSTON, MA 02110

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JUL 2 6 2004

OFFICE OF PETITIONS

In re Application of

Patrizio Vinciarelli et al

Application No. 09/841,471

Filed: April 24, 2001

Attorney Docket No. 00614-120001

: DECISION GRANTING PETITION

: UNDER 37 CFR 313(c)(2)

This is a decision on the petition, filed July 16, 2004, under 37 CFR 1.313(c)(2) to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED**.

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid on June 1, 2004 in the above-identified application cannot be refunded. If, however, the above-identified application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.¹

Telephone inquiries should be directed to the undersigned at (703) 305-8680.

This matter is being referred to Technology Center AU 2836 for processing of the request for continued examination under 37 CFR 1.114 and for consideration of the concurrently filed Information Disclosure Statement.

Petitions Examiner
Office of Petitions

Office of the Deputy Commissioner

for Patent Examination Policy

The request to apply the issue fee to the new Notice may be satisfied by completing and returning the new Issue Fee Transmittal Form PTOL-85(b), which includes the following language thereon: "Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or re-apply any previously paid issue fee to the application identified above." Petitioner is advised that, whether a fee is indicated as being due or not, the Issue Fee Transmittal Formmust be completed and timely submitted to avoid abandonment. Note the language in bold text on the first page of the Notice of Allowance and Fee(s) Due (PTOL-85).



Attorney's Docket No.: 00614-1200

IN THE UNITED STATES PATENT AND TRADEMÄRK OFFICE

Applicant: Patrizio Vinciarelli et al.

Art Unit : 2836

Serial No.: 09/841,471

Examiner: Boris Benenson

Filed

: April 24, 2001

Title

: COMPONENTS HAVING ACTIVELY CONTROLLED CIRCUIT ELEMENTS

MAIL STOP 313(c)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PETITION TO WITHDRAW

Applicants hereby petition under §1.313(c)(2) for the withdrawal of this application from issue to permit consideration of an Information Disclosure Statement being filed in a continuing application. The issue fee was paid on May 27, 2004.

A request for continued examination of this application under §1.114 is being filed simultaneously herewith. The request for continued examination is intended to continue this application on the grant of this petition.

Enclosed is a check for \$130 in payment of the petition fee required by 1.17(i). Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

L. Romine Reg. No. 43,056

Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804

Telephone: (617) 542-5070 Facsimile: (617) 542-8906

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07/16/2004 KBETEMA1 00000054 09841471

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

uly 14, 2004

Signature

Toni M. Sousa

Typed or Printed Name of Person Signing Certificate

Attorney's Docket No.: 00614-120001



Applicant: Patrizio Vinciarelli et al. Art Unit: 2836

Serial No.: 09/841,471 Examiner: Boris Benenson

Filed: April 24, 2001 Confirmation No.: 4503

Notice of Allowance Date: April 7, 2004

Title : COMPONENTS HAVING ACTIVELY CONTROLLED CIRCUIT ELEMENTS

MAIL STOP ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTICE OF ALLOWANCE

In response to the Notice of Allowance mailed April 7, 2004, enclosed are a completed issue fee transmittal form PTOL-85b and a check for \$1660 for the required issue fee and publication fee, including patent copies.

Comments on Statement of Reasons for Allowance

Applicant agrees that the limitations recited in the examiner's Reasons for Allowance are not taught or suggested by the art of record, and that the allowed independent claims are distinguished from the cited prior art for at least the reasons stated in the Reasons for Allowance, which are sufficient for allowance of all claims. Applicant does not concede that the stated reasons are the only grounds for patentability of the allowed claims, that the limitations excluded from the Reasons for Allowance are taught or suggested by the art of record, or that all of the limitations are necessary for patentability of the allowed claims or other claims directed to the disclosed subject matter.

Please apply any additional charges or credits to our Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

Signature

Christine Powers

Typed or Printed Name of Person Signing Certificate

Applicant: Patrizio Vinciarelli et al.

Serial No.: 09/841,471 Filed: April 24, 2001

Page

: 2 of 2

Respectfully submitted,

Attorney's Docket No.: 00614-120001

Date: 5/27/04

Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804 Telephone: (617) 542-5070 Facsimile: (617) 542-8906

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Reg. No. 43,056

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Checklistifor NOTICE OF ALLOWANCE

*May 26, 2004

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Client/Matter Number: 00614-120001

Client/Matter Name: | FET Integration with Protection

No Attorney/Agent Special Check Items If you check "Yes" to either question, there is likely an effect on foreign filing options Yes (1) Any CIP, continuation, or divisional cases have been or will be filed before the estimated issue 0 **Related Applications** date & (2) a conservative deadline for filing has been docketed. Foreign Gounterparts 0 (1) Have Been/Will Be FILED & (2) changes to foreign applications to conform to U.S. application as issued have been sent to the foreign associate(s). Checked By: ☼ The handling Attorney/Agent MUST review the file and PERSONALLY INITIAL for each item! Handling Attorney/Agent

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Sec	Att	PS	Check Items	
	-1/2	anti de		PRE-FILING DETERMINATIONS
Q /	1	D	Timing	Notice has been checked to confirm the due date was docketed correctly & is satisfied by this Response
₽ ·	7	9	Assignment	(1) assignment to the proper entity has been recorded & (2) the recorded document and reel/frame number has been received from the PTO
<u> </u>			IDS' Considered	All previously filed IDS' have been considered by the Examiner and all PTO Forms 1449 have been returned with each item of art initialed.
			,	O If not, a petition to withdraw from issue and an FWC application with a request for consideration and return of all Forms 1449 are being filed.
		Z	Priority	NOT ELIGIBLE O Priority claim to benefit of filing date of an earlier filed U.S. or foreign application has been acknowledged
J. PARTIL	57	ń	Small Entity Status	O Entitled
185	•	_	oman zmmy otatao	Determination of whether small entity standing must be made at time of paying the issue fee. If uncertain, into must be obtained from client or large fee must be paid.
₽ _{Vs}	N.		Formal Drawings	Previously filed O Being filed with Issue fee O Requested from draftsman
		مرا	and the second	L REQUIRED FILING ENOLOSURES
Ù	1	0/	² Caption	(1) serial number & (2) filing date have been checked for accuracy against information in the file.
	K		Postcard	(1) billing attorney initials, & (2) list of all papers being sent and the pages of each.
4		ő	NOA Response	(1) identifies mailing date of Notice, (2) lists all items being submitted, & (3) includes standard charges/credits statement, PLUS: Part B: Part B of the PTO's copy of the Notice of Allowance is complete and correct Firm Name: "Fish & Richardson P.C." is named as the firm to be printed on the patent Change in Small Entity Status: If now a large entity, a large entity issue fee is being paid and the
	,			Response indicates the change in status
Ħ	⋪.		Issue fee	CHECK O DEPOSIT ACCOUNT CHARGE, indicated on completed Part C of Notice of Allowance
	V /	Ø	Mail Certificate	(1) includes 1st Class mail certificate, & (2) is signed and dated
	□/		Envelope	Preprinted envelope or label is used, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 MAIL STOP ISSUE FEE
			The second	ASKS AFTER ATTORNEY/AGENT CHECK
		12	File copies	(1) are complete & (2) include all signatures and dates
			Manual Docket	Billing secretary's manual docket entry is updated
			Database Update	Copy of postcard sent to Patent Services
			Folder Update	File copy, tab, and updated table of contents are filed in prosecution folder
				T
Checked By:				M_3
L			Secretary	Attorhey/Agent Practice Systems Date



Attorney's Docket No. 00614-120001	Express Mail Label No.	Mailing Date May 27, 2004	For PTO Use Only Do Not Mark in This Area
Application No. 09/841,471	Filing Date April 24, 2001	Attorney/Secretary Init DLF/lja	
Title of the Invention COMPONENTS H CIRCUIT ELEMEN	AVING ACTIVELY CO	ONTROLLED	·
Applicant Patrizio Vinciarelli	et al.		OIPE
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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

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OTICE OF ALLOWANCE AND FEE(S) DUE

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04/07/2004

FISH & RICHARDSON PC 225 FRANKLIN ST **BOSTON, MA 02110**

EXAMINER

BENENSON, BORIS

ART UNIT

PAPER NUMBER

2836

DATE MAILED: 04/07/2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/841 471	04/24/2001	Patrizio Vinciarelli	00614-120001	4503	

TITLE OF INVENTION: COMPONENTS HAVING ACTIVELY CONTROLLED CIRCUIT ELEMENTS

1	APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
•	ponprovisional	NO	\$1330	\$300	\$1630	07/07/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

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HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

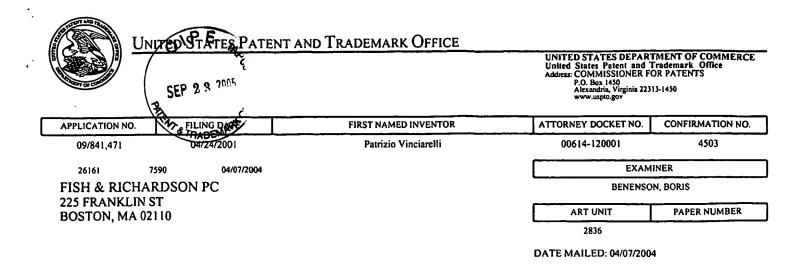
A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
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Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

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